



SERGIO GILOTTA

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Researcher / Assistant professor in Business Law

OTHER ACADEMIC POSITIONS

Sep. 2012 – Jan. 2013

Adjunct Professor of International Commercial Law

University of Bologna – Faculty of Economics

June 2011 – May 2012

Assegnista di Ricerca (Research Fellow)

University of Florence – Department of Civil and Procedural Law

Sep. 2011 – Nov. 2012

- Tutor at the "Enrico Redenti" Graduate School of Law

University of Bologna

- Tutor for the course of Commercial Law (taught in English)

University of Bologna, School of Economics

2006 – 2012

Tutor/Teaching Assistant

University of Bologna – Faculty of Law

EDUCATION

June 2010

Dottorato di ricerca (Ph.D.) in Corporate Law and Financial Markets Regulation, University of Bologna

June 2009

Master of Laws (LL.M.), Harvard University – School of Law

Concentration in Corporate Law and Governance

February 2005

Laurea in Giurisprudenza (J.D.) *cum laude* – University of Bologna – School of Law

MEMBERSHIPS

Member of the editorial board of the Italian law review "Giurisprudenza Commerciale" (branch of Bologna)

Member of the advisory board of the University of Bologna Law Review

Admitted to the Italian bar



AWARDS

Recipient of the “Donato Menichella” Scholarship for the 2007-8 academic year
The scholarship is awarded every year by the Bank of Italy to two graduates in law and provides funding for a 9-months study period abroad

MAIN INTERNATIONAL AND NATIONAL CONFERENCES

Speaker at the conference “Cross-border Mergers Directive: EU perspectives and national experiences”, 7 October 2017, Department of Law, University of Cyprus

Speaker at the 11th ISLE (Italian Society of Law and Economics) annual conference, Naples 18-19 December 2015

Speaker at the 6th annual conference of the “Orizzonti di Diritto Commerciale” Association, Rome, 20-21 February 2015,

Discussant at the 6th EUI (European University Institute) Max Weber Fellows Conference, Fiesole (Florence), 14 Giugno 2012

LANGUAGES

- Italian (native language)
- English

PUBLICATIONS

Books

“Trasparenza e riservatezza nella società quotata” (transl: “Transparency and confidentiality in the public company”), Giuffrè Editore, Milano, 2012

Articles and Essays

“Clausole statutarie “di salvaguardia” e principi di interpretazione dello statuto” (transl: “Bylaws introducing supermajority requirements and charter interpretation principles”)
Published in *Giurisprudenza Commerciale*, 2017, II, 529-545

The Regulation of Outsider Trading in EU and the US, in *European Company and Financial Law Review*, 2016, 631-664

“La responsabilità civile da insider trading in una recente pronuncia della Cassazione” (transl: “Civil liability for insider trading in a recent Corte di Cassazione case”)
Published in *Giurisprudenza Commerciale*, 2016, II, 1219-1244

“L’insider trading “secondario”: considerazioni a margine di una recente pronuncia del Corte d’appello di Milano” (transl: “Secondary insider trading: notes on a recent case of the Milan Court of Appeal”)
Published in *Giurisprudenza Commerciale*, 2015, II, pp. 531 - 539



"D. lgs. 11 ottobre 1012, n. 184: novità in tema di prospetto informativo e informazione *price-sensitive*" (transl.: "Legislative Decree October 11, 2012, no. 184: new rules on prospectus and price-sensitive information")

Published in *Le nuove leggi civili commentate*, 2014, pp. 283-290

"Diritto di recesso che consegue all'inizio dell'attività di direzione e coordinamento" (transl.: "The appraisal right awarded when a firm enters into a group")

Published in *Giurisprudenza Commerciale*, 2014, I, pp. 592-612

"Interesse sociale, interesse di gruppo e interesse collettivo nella regola sulla responsabilità per abuso di direzione unitaria" (transl.: "Corporate, group, and societal interest in the rule establishing the holding company's liability for abuse of his power over the subsidiary")

Published in *Giurisprudenza Commerciale*, 2014/1, pp. 176-214

"Trasparenza e interesse societario al segreto: quale equilibrio?" (transl.: "Transparency and the firm's interest in confidentiality: which equilibrium?")

Published in *Analisi Giuridica dell'Economia*, 2013, I, 255-286

"The conflict between disclosure in securities markets and the firm's need for confidentiality: theoretical framework and regulatory analysis"

Published in *European Business Organization Law Review*, 13/1, 2012

"Interesse di gruppo e nuove regole sulle operazioni con parti correlate: una convivenza difficile" (transl.: "Corporate groups and new rules on related-party transactions: a difficult cohabitation")

Published in *Giurisprudenza Commerciale*, 2012, 2

"Il recepimento della direttiva transparency tra modernizzazione del sistema di disclosure e fenomeni di regulatory capture" (transl.: "The implementation of the transparency directive between modernization of the disclosure system and regulatory capture")

Published in *Le nuove leggi civili commentate*, 2010, n. 6, 1249 - 1266

"Manipolazione del mercato: considerazioni su dinamica del fatto e danni civili da reato" (transl.: "Market manipulation: considerations on its dynamics and civil liability")

Published in *Giurisprudenza Commerciale*, 2007, n. 6, II, 1304-1323

"In tema di interest rate swap" (transl.: "About interest rate swap")

Published in *Giurisprudenza Commerciale*, 2007, n. 1, II, 134-159

"La ripartizione degli oneri probatori nei giudizi di risarcimento dei danni derivanti dallo svolgimento di servizi di investimento" (transl.: "The distribution of the burden of proof in civil liability suits between investors and financial intermediaries")

Published in *Giurisprudenza Commerciale*, 2005, n. 5, II, 620-643

Book Chapters / Commentaries

"Disclosure and Financial Market Regulation" (co-authored with Luca Enriques), in *The Oxford Handbook of Financial Regulation*, edited by Niamh Moloney, Eilis Ferran, and Jennifer Payne. Oxford, Oxford University Press, 2015

"Commento agli artt. 79-bis e 79-ter t.u.f." (transl.: "Comment to Articles 79-bis and 79-ter, t.u.f.")



Published in *Commentario T.U.F.: Decreto legislativo 24 febbraio 1998, n. 58 e successive modificazioni*, edited by F. Vella, Torino, Giappichelli, 2012, vol. I, 812-820

“Commento all’art. 114 t.u.f.” (transl.: “Comment to Article 114 t.u.f.”)

Published in *Commentario T.U.F.: Decreto legislativo 24 febbraio 1998, n. 58 e successive modificazioni*, edited by F. Vella, Torino, Giappichelli, 2012, vol. II, 1151-1167

Working Papers

2017

“EU Takeover Law and the Powerful Anti-Takeover Force of Supermajority Requirements”

Informazione privilegiata e “processi prolungati” dopo la *Market Abuse Regulation* (transl: “Inside information and “protracted processes” after the Market Abuse Regulation”)

2011

“L’innovazione come strumento di ritrovata competitività delle PMI italiane: problemi e prospettive” (transl.: “Innovation as an instrument for enhancing Italian SME’s competitive capacity: problems and prospects”)